

Neural Population Control via Deep Image Synthesis

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Particular deep artificial neural networks (ANNs) are today’s most accurate models of the primate brain’s ventral visual stream. Here we report that, using an ANN-driven image synthesis method, new luminous power patterns (i.e. images) can be applied to the primate retinae to predictably push the spiking activity of targeted V4 neural sites beyond naturally occurring levels. More importantly, this method, while not yet perfect, achieves unprecedented independent control of the activity state of entire populations of V4 neural sites, even those with overlapping receptive fields. These results show how the knowledge embedded in today’s ANN models might be used to noninvasively set desired internal brain states at neuron-level resolution, and suggest that more accurate ANN models would produce even more accurate control.

Particular deep feed-forward artificial neural network models (ANNs) constitute today’s most accurate “understanding” of the initial ~ 200 ms of processing in the primate ventral visual stream and the core object recognition behavior it supports (see *(1)* for the currently leading

17 models). In particular, visually-evoked internal neural representations of these specific ANNs
18 are remarkably similar to the visually-evoked neural representations in mid-level (area V4) and
19 high-level (area IT) cortical stages of the ventral stream (2, 3), a finding that has been extended
20 to neural representations in visual area V1 (4), to patterns of behavioral performance in core
21 object recognition tasks (5, 6), and to both magnetoencephalography and fMRI measurements
22 from the human ventral visual stream (7, 8). Notably, these prior findings of model-to-brain
23 similarity were not curve fits to brain data – they were *predictions* evaluated using images not
24 previously seen by the ANN models, showing that these models have some generalization of
25 their ability to capture key functional properties of the ventral visual stream.

26 However, at least two important potential limitations of this claim have been raised. First,
27 because the visual processing that is executed by the models is not simple to describe, and the
28 models have only been evaluated in terms of internal functional similarity to the brain (above),
29 perhaps they are more like a copy of, rather than a useful “understanding” of, the ventral stream.
30 Second, because the images to assess similarity were sampled from the same distribution as that
31 used to set the model’s internal parameters (photograph and rendered object databases), it is un-
32 clear if these models would pass a stronger test of functional similarity – does that similarity
33 generalize to entirely novel images? That is, perhaps their reported apparent functional similar-
34 ity to the brain (3, 7, 9), substantially over-estimates their true functional similarity.

35 Here we conducted a set of non-human primate visual neurophysiology experiments to as-
36 sess the first potential limitation by asking if the detailed knowledge that the models contain is
37 useful for one potential application (neural activity control), and to assess the second potential
38 limitation by asking if the functional similarity of the model to the brain generalizes to entirely
39 novel images.

40 Specifically, we used one of the leading deep ANN ventral stream models (i.e. a specific
41 model with a fully fixed set of parameters) to synthesize new patterns of luminous power (“con-

42 troller images”) that, when applied to the retinae, were intended to control the neural firing
43 activity of particular, experimenter-chosen neural sites in cortical visual area V4 of macaques
44 in two settings. i) *Neural “Stretch”*: synthesize images that “stretch” the maximal firing rate
45 of any single targeted neural site well beyond its naturally occurring maximal rate. ii) *Neu-*
46 *ral Population State Control*: synthesize images to independently control every neural site in a
47 small recorded population (here, populations of 5-40 neural sites). We here tested that popu-
48 lation control by aiming to use such model-designed retinal inputs to drive the V4 population
49 into an experimenter-chosen “one hot” state in which one neural site is pushed to be highly
50 active while all other nearby sites are simultaneously all “clamped” at their baseline activation
51 level. We reasoned that successful experimenter control would demonstrate that at least one
52 ANN model can be used to non-invasively *control* the brain – a practical test of useful, causal
53 “understanding” (10, 11).

54 We used chronic, implanted microelectrode arrays to record the responses of 107 neural
55 multi-unit and single-unit sites from visual area V4 in three awake, fixating rhesus macaques
56 ($n_M=52$, $n_N=33$, $n_S=22$). We first determined the classical receptive field (cRF) of each site
57 with briefly presented small squares (for details see Methods). We then tested each site using a
58 set of 640 naturalistic images (always presented to cover the central 8° of the visual field that
59 overlapped with the estimated cRFs of all the recorded V4 sites), and using a set of 370 complex
60 curvature stimuli previously determined to be good drivers of V4 neurons (12) (location tuned
61 for the cRFs of the neural sites). Using each site’s visually evoked responses (see Methods)
62 to 90% of the naturalistic images ($n=576$), we created a mapping from a single “V4” layer of
63 a deep ANN model (13) (Conv-3 layer; that we had established in prior work) to the neural
64 responses. We selected the model layer that maximally predicted the area V4 responses to the
65 set of naturalistic images using a linear mapping function (that model layer selection was also
66 consistent with similarity analysis using representational dissimilarity matrix – see Methods

67 and Fig. S8). The predictive accuracy of this model-to-brain mapping has previously been used
68 as a measure of the functional fidelity of the brain model to the brain (1, 3). Indeed, using the
69 V4 responses to the held-out 10% of the naturalistic images as tests, we replicated and extended
70 that prior work – we found that the neural predictor models correctly predicted 89% of the
71 explainable (i.e. image driven) variance in the V4 neural responses (median over the 107 sites,
72 each site computed as the mean over two mapping/testing splits of the data; see Methods).

73 Besides generating a model-V4-to-brain-V4 similarity score (89%, above), this mapping
74 procedure produces a potentially powerful tool – an image-computable predictor model of the
75 visually-evoked firing rate of each of the V4 neural sites. If truly accurate, this predictor model
76 is not simply a data fitting device and not just a similarity scoring method – instead it must
77 implicitly capture a great deal of visual “knowledge” that may be difficult to express in human
78 language, but is hypothesized (by the model) to be used by the brain to achieve successful
79 visual behavior. To extract and deploy that knowledge, we used a model-driven image synthesis
80 algorithm (see Fig. 1 and Methods) to generate *controller images* that were customized for
81 each neural site (i.e. according to its predictor model) so that each image should predictably
82 and reproducibly *control* the firing rates of V4 neurons in a particular, experimenter-chosen way.
83 That is, we aimed to test the hypothesis that experimenter-delivered application of a particular
84 pattern of luminous power on the retinae will reliably and reproducibly *cause* V4 neurons to
85 move to a particular, experimenter-specified activity state (and that removal of that pattern of
86 luminous power will return those V4 neurons to their background firing rates).

87 While there are an extremely large number of possible neural activity states that an exper-
88 imenter might ask a controller method to try to achieve, we restricted our experiments to the
89 V4 spiking activity 70-170 ms after retinal power input (the time frame where the ANN models
90 are presumed to be most accurate), and we have thus far tested two control settings: *Stretch*
91 *control* and *One-hot population control* (described below). To test and quantify the goodness of

92 control, we applied patterns of luminous power specified by the synthesized *controller images*
93 to the retinae of the animal subjects while we recorded the responses of the same V4 neural
94 sites (see Methods).

95 Each experimental manipulation of the pattern of luminous power on the retinae are col-
96 loquially referred to as “presentation of an image”, but we state the precise manipulation here
97 of applied power that is under experimenter control and fully randomized with other applied
98 luminous power patterns (other images) to emphasize that this is logically *identical* to more
99 direct energy application (e.g. optogenetic experiments) in that the goodness of experimental
100 control is inferred from the correlation between power *manipulation* and the neural response
101 in exactly the same way in both cases (see (11) for review). The only difference of the two
102 approaches is the assumed mechanisms that intervene between the experimentally-controlled
103 power and the controlled dependent variable (here V4 spiking rate) – steps that the ANN model
104 aims to approximate with stacked synaptic sums, threshold non-linearities, and normalization
105 circuits. In both the control case presented here and the optogenetics control case, those inter-
106 vening steps are not fully known, but approximated by a model of some type. That is, neither
107 experiment is “only correlational” because causality is inferred from experimenter-delivered,
108 experimenter-randomized application of power to the system.

109 Because each experiment was performed over separate days of recording (one day to build
110 all the predictor models, one day to test control), only neural sites that maintained both high
111 SNR and consistent rank order of responses to a standard set of 25 naturalistic images across
112 the two experimental days were considered further ($n_M=38$, $n_N=19$, and $n_S=19$ for *Stretch*
113 experiments; $n_M=38$, and $n_S=19$ for *One-hot-population* experiments; see Methods).

114 **“Stretch” Control: Attempt to maximize the activity of individual V4 neural sites** We
115 first defined each V4 site’s “naturally-observed maximal firing rate” as that which was found

116 by testing its response to the best of the 640 naturalistic test images (cross-validated over re-
117 peated presentations, see Methods). We then generated synthetic *controller images* for which
118 the synthesis algorithm was instructed to drive one of the neural site’s firing rate as high as
119 possible beyond that rate, regardless of the other V4 neural sites. For our first Stretch Control
120 experiment, we restricted the synthesis algorithm to only operate on parts of the image that
121 were within the classical receptive field (cRF) of each neural site. For each target neural site
122 ($n_M=21$, $n_N=19$, and $n_S=19$), we ran the synthesis algorithm from five different random image
123 initializations. For 79% of neural sites, the synthesis algorithm successfully found at least one
124 image that it predicted to be at least 10% above the site’s naturally observed maximal firing rate
125 (see Methods). However, in the interest of presenting an unbiased estimate of the stretch con-
126 trol goodness for randomly sampled V4 neural sites, we included all sites in our analyses, even
127 those ($\sim 20\%$) that the control algorithm predicted that it could not “stretch.” Visual inspection
128 suggests that the five *stretch controller* images generated by the algorithm for each neural site
129 are perceptually more similar to each other compared to those generated for different neural site
130 (see Figures 2 and S1), but we did not psychophysically quantify that similarity.

131 An example of the results of applying the *Stretch Control* images to the retinae of one
132 monkey to target one of its V4 sites is shown in Fig. 2-A), along with the ANN-model-predicted
133 responses of this site for all tested images. A closer visual inspection of this neural site’s “best”
134 natural and complex curvature images within the site’s cRF (Fig. 2 top) suggests that it might
135 be especially sensitive to the presence of an angled convex curvature in the middle and a set
136 of concentric circles at the bottom left side. This is consistent with extensive systematic work
137 in V4 using such stimuli (12, 14), and it suggests that we had successfully located the cRF and
138 tuned our stimulus presentation to maximize firing rate by the standards of such prior work.
139 Interestingly however, we found that all five synthetic stretch control images (red) drove the
140 neural responses above the response to each and every tested naturalistic image (blue) and above

141 the response to each and every complex curvature stimulus presented within the cRF (purple),
142 (Fig. 2-A).

143 To quantify the goodness of this stretch control, we measured the neural response to the best
144 of the five synthetic images (again, cross-validated over repeated presentations, see Methods)
145 and compared it with the naturally-observed maximal firing rate (defined above). We found that
146 the stretch controller images successfully drove 68% of the V4 neural sites (40 out of 59) sta-
147 tistically beyond its maximal naturally-observed firing rate (unpaired-samples t-test at the level
148 of $p < 0.01$ between distribution of highest firing rates for naturalistic and synthetic images;
149 distribution generated from 50 random cross-validation samples, see Methods). Measured as an
150 amplitude, we found that the stretch controller images typically produced a firing rate that was
151 39% higher than the maximal naturalistic firing rate (median over all tested sites, Fig. 2 panel
152 B and C).

153 Because our fixed set of naturalistic images was not optimized to maximally drive each V4
154 neural site, we considered the possibility that our stretch controller was simply rediscovering
155 image pixel arrangements that are already known from prior systematic work to be good drivers
156 of V4 neurons (12, 14). To test this hypothesis, we tested 19 of the V4 sites ($n_M = 11$, $n_S = 8$)
157 by presenting – inside the cRF of each neural site – each of 370 complex curve shapes (14) – a
158 stimulus set that has been previously shown to contain image features that are good at driving
159 V4 neurons when placed within the cRF. Because we were also concerned that the fixed set
160 of naturalistic images did not maximize the local image contrast within each V4 neuron’s cRF,
161 we presented the complex curved shapes at a contrast that was matched to the contrast of the
162 synthetic stretch controller images (see supplementary Fig. S4). Interestingly, we found that
163 for each tested neural site, the synthetic controller images generated higher firing rates than
164 the most-effective complex curve shape (Fig. 2-D). Specifically, when we used the maximal
165 response over all the complex curve shapes as the reference (again, cross-validated over repeated

166 presentations), we found that the median stretch amplitude was even larger (187%) than when
167 the maximal naturalistic image was used as the reference (73% for the same 19 sites). In sum,
168 the ANN-driven stretch controller had discovered pixel arrangements that were better drivers of
169 V4 neural sites than prior systematic attempts to do so.

170 To further test the possibility that the relatively simple image transformations might also
171 achieve neural response levels that were as high as the synthetic controller images, we carried
172 out extensive simulations to test the predicted effects of a battery of alternative image manipula-
173 tions. First, to ask if the response might be increased simply by reducing surround suppression
174 effects (15), we assessed each site's predicted response to its best naturalistic image, spatially
175 cropped to match the site's cRF. We also adjusted the contrast of that cropped image to match
176 the average contrast of the synthetic images for the site (also measured within the site's cRF).
177 Over all tested sites, the predicted median stretch control gain achieved using these newly gen-
178 erated images was 14% lower than the original naturalistic set (n=59 sites; see Fig. S7). To
179 explore this further, we optimized the size and location of the cropped region of the natural
180 image (see Methods). The stretch control gain achieved with this procedure was 0.1% lower
181 than that obtained for the original naturalistic images. Second, we tested response-optimized
182 affine transformations of the best naturalistic images (position, scale, rotations). Third, to place
183 some energy from multiple features of natural images in the cRF, we tested contrast blends of
184 the best 2-5 images for each site (see Methods). The predicted stretch control gain of each of
185 these manipulations was still far below that achieved with the synthetic controller images. In
186 summary, we report that the achieved stretch control ability is non-trivial in that, even at high
187 contrast, it cannot be achieved by: simple curvature features, simple transformation on natural-
188 istic images, combining good naturalistic images, or optimizing the spatial extent of the image
189 (see Methods and Fig. S7.)

190 **“One-Hot-Population” Control: Attempt to *only* activate one of many V4 neural sites**
191 Similar to prior single unit visual neurophysiology studies (16–18), the stretch control experi-
192 ment attempted to optimize the response of each V4 neural site one at a time without regard to
193 the rest of the neural population. But the ANN model potentially enables much richer forms
194 of *population* control in which each neural site might be *independently* controlled. As a first
195 test of this, we asked the synthesis algorithm to try to generate controller images with the goal
196 of driving the response of only one “target” neural site high while *simultaneously* keeping the
197 responses of all other recorded neural sites low (aka a “one-hot” population activity state; see
198 Methods).

199 We attempted this one-hot-population control on neural populations in which all sites were
200 simultaneously recorded (*One-hot-population* Experiment 1; n=38 in monkey-M; Experiment
201 2; n=19 in monkey-S). Specifically, we randomly chose a subset of neural sites as “target” sites
202 (14 in monkey-M and 19 in monkey-S) and we asked the synthesis algorithm to generate five
203 one-hot-population controller images for each of these sites (i.e. 33 tests in which each test is an
204 attempt to maximize the activity of one site while suppressing the activity of all other measured
205 sites from the same monkey). For these control tests, we allowed the controller algorithm to
206 optimize pixels over the entire 8° diameter image (that included the cRFs of all the recorded
207 neural sites, see Fig. 3), and we then applied the one-hot-population controller images to the
208 monkey retina to assess the goodness of control. The synthesis procedure predicted a softmax
209 score of at least 0.5 for 77% of population experiments (as a reference, the maximum softmax
210 score is 1 and is obtained when only the target neural site is active and all off-target neural sites
211 are completely inactive; for an example near 0.3 see Fig. 3).

212 While the one-hot-population controller images did not achieve perfect one-hot-population
213 control, we found that the controller images were typically able to achieve enhancements in
214 the activity of the target site without generating much increase in off-target sites (relative to

215 naturalistic images; see examples in Fig. 3-A). To quantify the goodness of one-hot-population
216 control in each of the 33 tests, we computed a one-hot-population score on the responses of
217 the activity profile of each population (softmax score, see Methods), and we referenced that
218 score to the one-hot-population control score that could be achieved using only the naturalistic
219 images (i.e without the benefit of the ANN model and synthesis algorithm). We took the ratio of
220 those two scores as the measure of improved one-hot population control, and we found that the
221 controller typically achieved an improvement of 57% (median over all 33 one-hot-population
222 control tests; see Fig. 3-B and C) and we found that that improved control was statistically
223 significant for 76% of the one-hot population control tests (25 out of 33 tests; unpaired-samples
224 t-test at the level of $p < 0.01$).

225 We considered the possibility that the improved population control was resulting from the
226 non-overlapping cRFs that would allow neural sites to be independently controlled simply by
227 restricting image contrast energy to each site's cRF. To test this possibility, we analyzed a sub-
228 sample of the measured neural population in which all sites had strongly overlapping cRFs (see
229 Fig. 3-D). We considered a neural population of size 10 in monkey-M and of size 8 in monkey-
230 S for this experiment with largely overlapping cRFs (see Fig. 3-D). In total we performed
231 the experiment on 12 target neural sites in two monkeys (4 in monkey-M and 8 in monkey-
232 S) and found that the amplitude of improved control was still 40%. Thus, a large portion of
233 the improved control is the result of specific spatial arrangements of luminous power within
234 the retinal input region shared by multiple V4 neural sites that the ANN-model has implicitly
235 captured and predicted and the synthesis algorithm has successfully recovered (Fig. 4).

236 As another test of one-hot-population control, we conducted an additional set of experiments
237 in which we restricted the one-hot control synthesis algorithm to operate only on image pixels
238 within the shared cRF of all neural sites in a sub-population with overlapping cRFs (Fig. 3-E).
239 We compared this within-cRF synthetic one-hot population control with the within-cRF one-hot

240 population control that could be achieved with the complex curved shapes (because the prior
241 experiments with these stimuli were also designed to manipulate V4 responses only using pixels
242 inside the cRF). We found that, for the same set of neural sites, the synthetic controller images
243 produced a very large one-hot population control gain (median 112%, Fig. 3-E) and the control
244 score was significantly higher than best curvature stimulus for 86% of the neural sites (12 out
245 of 14).

246 **Does the functional fidelity of the ANN brain model generalize to novel images?** Besides
247 testing non-invasive causal neural control, these experiments also aimed to ask if ANN models
248 would pass a stronger test of functional similarity to the brain than prior work had shown (2, 3).
249 Specifically, does that model-to-brain similarity generalize to entirely novel images? Because
250 the controller images were synthesized anew from random pixel arrangement and they were
251 optimized to drive the firing rates of V4 neural sites both upwards (targets) and downwards
252 (one-hot-population off-targets), we considered them to be a potentially novel set of neural-
253 modulating images that is far removed from the naturalistic images. We quantified and con-
254 firmed this notion of novelty by demonstrating that synthetic images were indeed statistically
255 farther from the naturalistic images compared to the naturalistic image set to itself (measur-
256 ing distances in pixels space, recorded V4 neural population space, and model-predicted V4
257 population space; see Methods and Fig. S6).

258 To ask how well the V4 predictor model generalizes to these novel synthetic images, for
259 each neural site we compared the predicted response to every tested synthetic image with the
260 actual neural response, using the same similarity measure as prior work (2, 3), but now with
261 *zero* parameters to fit. That is, a good model-to-brain similarity score required that the ANN
262 predictor model for each V4 neural site accurately predict the response of that neural site for
263 all of many synthetic images that are each very different than those that we used to train the

264 ANN (photographs) and also very different from the images used to map ANN “V4” sites to
265 individual V4 neural sites (naturalistic images).

266 Consistent with the control results (above), we found that the ANN model accounted for
267 54% of the explainable variance for the set of synthetic images (median over 76 neural sites
268 in three monkeys; Fig. S3). While the model overestimates the neural responses to synthe-
269 sized stimuli on many occasions and the model-to-brain similarity score is somewhat lower
270 than that obtained for naturalistic images responses (89%), the model still predicts a substantial
271 portion of the variance considering the fact that all parameters were fixed to make these “out-of-
272 naturalistic-domain” image predictions. We believe this is the strongest test of generalization of
273 today’s ANN models of the ventral stream thus far, and it again shows that the model’s internal
274 neural representation is both remarkably similar to the brain’s intermediate ventral stream rep-
275 resentation (V4), but also that it is still not a perfect model of the representation. We also note
276 that, because the synthetic images were generated by the model, we cannot assess the accuracy
277 of predictions for images that are entirely “out-of-model-domain”.

278 **How do we interpret these results?** In sum, we here demonstrate that, using a deep ANN-
279 driven controller method, we can push the firing rates of most V4 neural sites beyond naturally
280 occurring levels and that V4 neural sites with overlapping receptive fields can be partly – but
281 not yet perfectly – independently controlled. In both cases, we show that the goodness of this
282 control is unprecedented in that it is superior to that which can be obtained without the ANN.
283 Finally, we find that – with no parameter tuning at all – the ANN model generalizes quite well
284 to predict V4 responses to synthetic images – images which are strikingly different than the
285 real-world photographs used to tune the ANN synaptic connectivity and map the ANN’s “V4”
286 to each V4 neural site. We believe that these results are the strongest test thus far of today’s
287 deep ANN models of the ventral stream.

288 Beginning with the work of Hubel and Wiesel (19, 20), decades of visual neuroscience has
289 closely equated an understanding of how the brain represents the external visual world with an
290 understanding of what stimuli cause each neuron to respond the most. Indeed, textbooks and
291 important recent results tell us that V1 neurons are tuned to oriented bars (20), V2 neurons
292 are tuned to correlated combinations of V1 neurons found in natural images (21), V4 neu-
293 rons are tuned to complex curvature shapes in both 2D and 3D (17, 22) and tuned to boundary
294 information (12, 14), and IT neurons respond to complex object-like patterns (18) including
295 faces (23, 24) and bodies as special cases (25).

296 While these efforts have been essential to building both a solid foundation and intuitions
297 about the role of neurons in encoding visual information, our results here show how they can be
298 further refined by current and future ANN models of the ventral stream. For instance here we
299 found that synthesis of only few images leads to higher neural response levels that was possible
300 by searching in a relatively large space of natural images (n=640) and complex curved stimuli
301 (n=370) derived from those prior intuitions. This shows that even today's ANN models – which
302 are clearly not yet perfect (1, 6) – already give us new ability to find manifolds of more optimal
303 stimuli for each neural site at a much finer degree of granularity and to discover such stimuli
304 unconstrained by human intuition and difficult to fully describe by human spoken language (see
305 examples in Fig. S1). This is likely to be especially important in mid and later stages of the
306 visual hierarchy (e.g. in V4 and inferior temporal cortex) where the response complexity and
307 larger receptive fields of neurons makes manual search intractable.

308 In light of these results, what can we now say about the two important critiques of today's
309 ANN models raised at the outset of this study (understanding and generality)? In our view, the
310 results strongly mitigate both of those critiques, but they do not eliminate them.

311 On understanding: the ability to use knowledge to gain improved control over things of
312 interest in the world (as we have demonstrated here) is an important test of understanding.

313 However we acknowledge that this is not the only possible view, and many other notions of
314 “understanding” remain to be explored to see if and how these models add value.

315 On generality: because we found that even today’s ANN models show good generalization
316 to demonstrably novel images, we believe these results close the door on critiques that argue
317 that current ANN models are extremely narrow in the scope of images they can accurately cover.
318 However, we note that while 54% of the explainable variance in the generalization test was suc-
319 cessfully predicted, this is somewhat lower than the 89% explainable variance that is found for
320 images that are “closer” to (but not identical to) the mapping images. This not only re-confirms
321 that these brain models are not yet perfect, but also suggests that a single metric of model simi-
322 larity to each brain area is insufficient to characterize and distinguish among alternative models
323 (e.g. (*I*)). Instead, multiple similarity tests at different generalization “distances” could be use-
324 ful, as we can imagine future models that show less decline in successfully predicted variance
325 as one moves from testing images “near” the training and mapping distributions (typically pho-
326 tographs and naturalistic images) to “far”, such as the synthetic images like those used here, to
327 ”extremely far”, such as images that cannot even be synthesized under the guidance of current
328 models and thus we did not test here.

329 From an applications standpoint, the results presented here show how today’s ANN models
330 of the ventral stream can already be used to achieve improved non-invasive, population control
331 (e.g. Fig 4). However, the control results are clearly not yet perfect. For example, in the
332 *one-hot population* control setting we were not able to fully suppress each and every one of
333 the responses of the “off-target” neural sites while keeping the target neural site active (see
334 examples in Figures-3, 4). Post-hoc analysis showed that we could partially anticipate which
335 off-target sites would be most difficult to suppress – they were typically (and not surprisingly)
336 the sites that had high patterns of response similarity with the target site ($r = 0.49, p < 10^{-4}$;
337 correlation between response similarity with the target neural site over naturalistic images and

338 the off-target activity level in the full image one-hot population experiments; n=37 off-target
339 sites). Such results raise very interesting scientific and applied questions of if and when perfect
340 independent control is possible at neuron-level resolution. Are our current limitations on control
341 due to anatomical connectivity that restricts the potential population control, the non-perfect
342 accuracy of the current ANN models of the ventral stream, non-perfect mapping of the model
343 neurons to the individual neural site in the brain, the fact that we are attempting to control multi-
344 unit activity, inadequacy of the controller image synthesis algorithm, or some combination of
345 all of these and other factors?

346 Consider the synthesis algorithm: Intuitively, each particular neural site might be sensitive
347 to many image features, but maybe only to a few that the other neural sites are not sensitive
348 to. This intuition is consistent with the observation that, using the current ANN model, it
349 was more difficult for our synthesis algorithm to find good controller images in the *One-hot-*
350 *population* setting than in the *Stretch* setting (the one-hot-population optimization typically
351 took more than twice as many steps to find a synthetic image that is predicted to drive the
352 target neural site response to the same level as in the *Stretch* setting), and visual inspection of
353 the images suggests that the one-hot-population images have fewer identifiable “features” (Fig.
354 5 and Fig. S2). As the size of the to-be-controlled neural population is increased, it would
355 likely become increasingly difficult to achieve fully independent control, but this is an open
356 experimental question.

357 Consider the current ANN models: Our data suggest that future improved ANN models are
358 likely to enable even better control. For example, better ANN V4 population predictor models
359 generally produced better one-hot population control of that V4 population (Fig. S5). One
360 thing is clear already – improved ANN models of the ventral visual stream have led to control
361 of high-level neural population that was previously out of reach. With continuing improvement
362 of the fidelity of ANN models of the ventral stream (1, 26, 27), the results presented here have

363 likely only scratched the surface on what is possible with such implemented characterizations
364 of the brain’s neural networks.

365 **Methods**

366 **Electrophysiological Recordings in Macaques** We sampled and recorded neural sites across
367 the macaque V4 cortex in the left, right, and left hemisphere of three awake, behaving macaques,
368 respectively. In each monkey, we implanted one chronic 96-electrode microelectrode array
369 (Utah array), immediately anterior to the lunate sulcus (LS) and posterior to the inferior occip-
370 ital sulcus (IOS), with the goal of targeting the central visual representation ($<5^\circ$ eccentricity,
371 contralateral lower visual field). Each array sampled from $\sim 25 \text{ mm}^2$ of dorsal V4. On each day,
372 recording sites that were visually-driven as measured by response correlation ($r_{pearson} > 0.8$)
373 across split-half trials of a fixed set of 25 out-of-set naturalistic images shown for every record-
374 ing session (termed, the normalizer image set) were deemed “reliable”.

375 We do not assume that each V4 electrode was recording only the spikes of a single neuron.
376 Hence we use the term neural “site” throughout the manuscript. But we did require that the
377 spiking responses obtained at each V4 site maintained stability in its image-wise “fingerprint”
378 between the day(s) that the mapping images were tested (i.e. the response data used to build the
379 ANN-driven predictive model of each site, see text) and the days that the Controller images or
380 the complex curvature images were tested (see below). Specifically, to be “stable,” we required
381 an image-wise Pearson correlation of at least 0.8 in its responses to the normalizer set across
382 recording days.

383 Neural sites that were reliable on the experimental mapping day and the experimental test
384 days, and were stable across all those days, were termed “validated.” All validated sites were
385 included in all presented results. (Note that, to avoid any possible selection biases, this selection
386 of validated sites was done on data that were completely independent from the main experimen-

387 tal result data.) In total, we recorded from 107 validated V4 sites during the ANN-mapping day
388 which included 52, 33 and 22 sites in monkey-M (left hemisphere), monkey-N (right hemi-
389 sphere), and monkey-S (left hemisphere), respectively. Of these sites, 76 of were validated for
390 the Stretch control experiments ($n_M=38$, $n_N=19$, $n_S=19$) and 57 were validated for the One-hot
391 population control experiments ($n_M=38$, $n_S=19$).

392 To allow meaningful comparisons across recording days and across V4 sites, the raw spik-
393 ing rate of each site from each recording session was normalized (within just that session) by
394 subtracting its mean response to the 25 normalizer images and then dividing by the standard
395 deviation of its response over those normalizer images (these are the arbitrary units shown as
396 firing rates in Figs. 2A, 3A and 4). The normalizer image set was always randomly interleaved
397 with the main experimental stimulus set(s) run on each day.

398 Control experiments consisted of three steps. In the first step, we recorded neural responses
399 to our set of naturalistic images that were used to construct the mapping function between the
400 ANN activations and the recorded V4 sites. In a second, offline step, we used these mapping
401 functions (i.e. a predictive model of the neural sites) to synthesize the controller images. Finally
402 in step three, we closed the loop by recording the neural responses to the synthesized images.
403 The time between step 1 and step 3 ranged from several days to 3 weeks.

404 **Fixation Task** All images were presented while monkeys fixated a white square dot (0.2°)
405 for 300 ms to initiate a trial. We then presented a sequence of 5 to 7 images, each ON for
406 100 ms followed by a 100 ms gray blank screen. This was followed by a water reward and an
407 inter-trial interval of 500 ms, followed by the next sequence. Trials were aborted if gaze was
408 not held within $\pm 0.5^\circ$ of the central fixation dot during any point. To estimate the classical
409 receptive field (cRF) of each neural site, we flashed $1^\circ \times 1^\circ$ white squares across the central 8°
410 of the monkeys' visual field, measured the corresponding neural responses, and then fitted a 2D

411 Gaussian to the data. We defined 1-std as the cRF of each site.

412 **Naturalistic Image Set** We used a large set (N=640) of naturalistic images to measure the
413 response of each recorded V4 neural sites and every model V4 neural site to each of these
414 images. Each of these images contained a three-dimensional rendered object instantiated at a
415 random view overlaid on an unrelated natural image background, see (28) for details.

416 **Complex Curvature Stimuli** We used a set of images consisting of closed shapes constructed
417 by combining concave and convex curves (12). These stimuli are constructed by parametrically
418 defining the number and configuration of the convex projections that constituted the shapes. Pre-
419 vious experiments with these shapes showed that curvature and polar angle were quite good at
420 describing the shape tuning (12). The number of projections varied between 3 to 5 and the angu-
421 lar separation between projections was in 45° increments. These shapes were previously shown
422 to contain good drivers of V4 neurons of macaque monkeys (12, 14). The complex curve images
423 were generated using the code generously supplied by the authors of that prior work (<http://depts.washington.edu/shapelab/resources/stimsonly.php>). The stim-
424 uli were presented at the center of the receptive field of the neural sites (detailed below).
425

426 **Cross-Validation Procedure for Evaluating Control Scores** To evaluate the scores from the
427 neural responses to an image set, we divide the neural response repetitions into two, randomly-
428 selected halves. We then compute the mean firing rate of each neural site in response to each
429 image in each half. The mean responses from the first half are used to find the image that
430 produces the highest score (in that half) and the response to that image is then measured in the
431 second half (and this is the measurement used for further analyses). We repeat this procedure
432 50 times for each neural site (i.e. 50 random half splits). For *Stretch* and *One-hot population*
433 experiments the score functions were the “neural firing rate” and “softmax score” respectively.

434 We compute each score for the synthetic controller images and for the reference images (either
435 the naturalistic or the complex curvature sets, see text). The synthetic “gain” in the control score
436 is calculated as the difference between the synthetic controller score and the reference score,
437 divided by the reference score.

438 **V4 encoding model** To use the ANN model to predict each recorded neural site (or neural
439 population), the internal V4-like representation of the model must first be mapped to the specific
440 set of recorded neural sites. The assumptions behind this mapping are discussed elsewhere (9),
441 but the key idea is that any good model of a ventral stream area must contain a set of artificial
442 neurons (a.k.a. features) that, together, span the same visual encoding space as the brain’s
443 population of neurons in that area (i.e. the model layer must match the brain area up to a linear
444 mapping). To build this predictive map from model to brain, we started with a specific deep
445 ANN model with locked parameters. Here we used a variant of Alexnet architecture trained on
446 Imagenet (13) as we have previously found the feature space at the output of Conv-3 layer of
447 Alexnet to be a good predictor of V4 neural responses (we here refer to this as model “V4”). We
448 used the same training procedure as was described in (13), except we did not split the middle
449 convolutional layers between GPUs.

450 In addition, the input images were transformed using an eccentricity-dependent function
451 that mimics the known spatial sampling properties of the primate retinae (details below). We
452 termed this the “retinae transformation”. We had previously found that training deep convolu-
453 tional ANN models with retinae-transformed images improves the neural prediction accuracy of
454 V4 neural sites (an increase in explained variance by $\sim 5 - 10\%$). The “retinae transformation”
455 was implemented by a fish-eye transformation that mimics the eccentricity-dependent sampling
456 performed in primate retinae (code available at [https://github.com/dicarlolab/](https://github.com/dicarlolab/retinawarp)
457 `retinawarp`). All input images to the neural network were preprocessed by randomly crop-

ping followed by applying the fish-eye transformation. Parameters of the fish-eye transformation were tuned to mimic the cones density ratio in fovea at 4° peripheral vision (29).

We used the responses of the recorded V4 neural sites in each monkey and the responses of all the model “V4” neurons to build a mapping from model to the recorded population of V4 neural sites (Fig. 1). We used a convolutional mapping function that significantly reduces the neural prediction error compared to other methods like principal component regression. Our implementation was a variant of the 2-stage convolutional mapping function proposed in (30) in which we substituted the group sparsity regularization term with an L2 loss term to allow for smooth (non-sparse) feature mixing. The first stage of the mapping function consists of a learnable spatial mask (W_s) that is parameterized separately for each neural site (n) and is used to estimate the receptive field of each neuron. The second stage consists of a mixing point-wise convolution (W_d) that computes a weighted sum of all feature maps at a particular layer of the ANN model (Conv3 layer in our case). The mixing stage finds the best combination of model features that are predictive of the each neural sites response. The final output is then averaged over all spatial locations to form a scalar prediction of the neural response. Parameters are jointly optimized to minimize the prediction error \mathcal{L}_e on the training set regularized by combination of \mathcal{L}_2 and smoothing Laplacian losses $\mathcal{L}_{laplace}$ (defined below). By factorizing the spatial and feature dimensions, this method significantly improves the predictivity of neural responses over the traditional principle component regression. We interpret this improved predictive power as resulting from the fact that it imposes a prior on the model-to-brain mapping procedure which is strongly in line with a an empirical fact – that each neuron in area V4 has a receptive field. That neuron is thus best explained by linear combinations of simulated neurons that have similar receptive fields.

$$\hat{y}_n = \left(\sum (W_s^{(n)} \cdot X) \right) \cdot W_d^{(n)} + w_b^{(n)} \quad (1)$$

$$\mathcal{L}_2 = \lambda_s \sum_n W_s^{(n)2} + \lambda_d \sum_n W_d^{(n)2} \quad (2)$$

$$\mathcal{L}_{laplace} = \lambda_s \sqrt{\sum_n (W_s^{(n)} * L)^2}, \quad L = \begin{bmatrix} 0 & -1 & 0 \\ -1 & 4 & -1 \\ 0 & -1 & 0 \end{bmatrix} \quad (3)$$

$$\mathcal{L}_e = \sqrt{\sum_n (\hat{y}_n - y)^2} \quad (4)$$

$$\mathcal{L} = \mathcal{L}_e + \mathcal{L}_{laplace} + \mathcal{L}_2 \quad (5)$$

481 We evaluated our model using 2-fold cross-validation and observed that $\sim 89\%$ of the ex-
482 plainable variance could be explained with our model in three monkeys ($EV_M = 92\%$, $EV_N =$
483 92% , $EV_S = 80\%$). The addition of the retinae transformation together with the convolutional
484 mapping function increased the explained variance by $\sim 13\%$ over the naive principal compo-
485 nent regression applied on features from the model trained without the retinae transformation
486 ($EV_M = 75\%$, $EV_N = 80\%$, $EV_S = 73\%$). Ablation studies on data from each monkey
487 suggested that on average about 3-8% of the improvements were due to the addition of the
488 retinae transformation (see Table-S1). For constructing the final mapping function, adopted for
489 image synthesis, we optimized the mapping function parameters on 90% of the data, selected
490 randomly.

491 The resulting predictive model of V4 (ANN features plus linear mapping) is referred to as
492 the *mapped v4 encoding model* and, by construction, it produces the same number of artificial
493 V4 “neurons” as the number of recorded V4 neural sites (52, 33, and 22 neural sites in monkeys
494 M, N and S respectively).

495 **Retinae Transformation** To retain the resolution of the retinae-transformed images as high
496 as possible, we did not subsample the input image with a fixed sampling pattern. Instead, our

497 implementation of the retinae sampling utilizes a backward function $r = g(r')$ that maps the
 498 radius of points in the retinae transformed image (r') to those in the input image (r). In this way,
 499 for every pixel in the output image, we can find the corresponding pixel in the input image using
 500 the pixel-mapping function g . To formulate the pixel-mapping function g , we take advantage of
 501 the known rate of change of cones density (ρ) in the primate retinae that exponentially decreases
 502 with eccentricity (29).

$$\rho = \frac{1}{\pi d^2} = e^{-ar'} \quad (6)$$

503 where d is the distance between nearby cones and r' is the radial distance from the fovea in
 504 the transformed image. From this, we can write d as a function of r' .

$$d = \frac{1}{\sqrt{\pi}} e^{ar'/2} \quad (7)$$

505 The ratio between the cones density in the fovea and the outmost periphery given the specific
 506 visual field size in which the stimulus has been presented in the experiment could be written as:

$$\frac{\rho_f}{\rho_p} = e^{ar'_{max}} \quad (8)$$

507 where ρ_f and ρ_p are the cone densities at the fovea and periphery respectively, and r'_{max}
 508 is the highest radial distance in the output image (e.g. 150 for an image of size 300). From
 509 equation (8) above we can calculate a as a function of ρ_f , ρ_p , and r'_{max} .

$$a = \frac{\ln \frac{\rho_f}{\rho_p}}{r'_{max}} \quad (9)$$

510 The $\frac{\rho_f}{\rho_p}$ ratio is known given the size of the visual field in which the stimuli were presented
 511 (e.g. 10 for fovea to 4-degrees in this study) and the output image size (e.g. 150 in this study).

512 We can now formulate the function $g(r')$ as the sum of all the distances d up to radius r'
 513 weighted by a factor b .

$$g(r') = \frac{b}{\sqrt{\pi}} \sum_{k=0}^{r'-1} d_k = \frac{b}{\sqrt{\pi}} \sum_{k=0}^{r'-1} e^{\frac{ak}{2}} = \frac{b}{\sqrt{\pi}} \frac{1 - e^{ar'/2}}{1 - e^{a/2}} \quad (10)$$

514 where b is found so that $\frac{r_{max}}{g(r'_{max})} = 1$. In our implementation we use Brents method to find
 515 the optimal b value.

516 **Finding the best representation in the ANN model:** We used linear mapping from model
 517 features to neural measurements to compare the representation at each stage of processing in the
 518 ANN model. For features in each layer of the ANN model, we applied the principal component
 519 analysis and extracted the top 640 dimensions. We then fitted a linear transformation to the
 520 data using Ridge regression method and computed the amount of explained variance (EV) by
 521 the mapping function. For each neural site we normalized the EV by the internal consistency
 522 of measurements across repetitions. The median normalized EV across all measured sites was
 523 used to select the best representation in the ANN model (Fig. S8-A). We also quantified the
 524 similarity of representations at each layer of the ANN model and the neural measurements using
 525 the image-level representational dissimilarity matrix (RDM) that followed the same pattern as
 526 that which was obtained from linear mapping method (Fig. S8-B). RDMs were computed using
 527 the principle components of the features at each layer in response to the naturalistic image set
 528 (n=640).

529 **Synthesized “Controller” Images** The “response” of artificial neuron in the *mapped V4 en-*
 530 *coding model* (above) is a differentiable function of the pixel values $f : \mathcal{I}^{w \times h \times c} \rightarrow \mathbb{R}^n$ that
 531 enables us to use the model to analyze the sensitivity of neurons to patterns in the pixels space.
 532 We formulate the synthesis operation as an optimization procedure during which images are

533 synthesized to control the neural firing patterns in the following two settings:

534 **1. Stretch:** We synthesize controller images that attempt to push each individual V4 neural
535 site into its maximal activity state. To do so, we follow an approach first introduced in (31)
536 and iteratively change the pixel values in the direction of the gradient that maximizes the firing
537 rate of the corresponding model V4 neural site. We repeated the procedure for each neural site
538 using five different random starting images, thereby generating five “stretch” controller images
539 for each V4 neural site.

540 **2. One Hot Population:** Similar to “Stretch” scenario, except that here we chose the opti-
541 mization to change the pixel values in a way that (i) attempts to maximize firing rate of the target
542 V4 neural site, and (ii) attempts to maximally suppress the firing rates of all other recorded V4
543 neural sites. We formalize the *One-hot population* goal in the following objective function that
544 we then aim to maximize during the image synthesis procedure:

$$\mathcal{S} = \text{Softmax}_t(y) = \frac{e^{y_t}}{\sum e^{y_i}} \quad (11)$$

545 where t is the index of the target neural site, and y_i is the response of the model V4 neuron i to
546 the synthetic image.

547 For each optimization run, we start from an image that consists of random pixel values
548 drawn from a standard Normal distribution and optimize the objective function for a pre-
549 specified number of steps using gradient ascend algorithm (steps=700). We also use the total
550 variation (defined below) as additional regularization in the optimization loss to reduce the high
551 frequency noise in the generated images:

$$L_{TV} = \sum_{i,j} \left(\|I_{i+1,j} - I_{i,j}\|_2 + \|I_{:,j+1} - I_{:,j}\|_2 \right) \quad (12)$$

552 During the experiments, monkeys are required to fixate within a 1° circle at the center of the
553 screen. This introduces an uncertainty on the exact gaze location. For this reason, images are

554 synthesized to be robust to small translations of maximum 0.5° . At every iteration, we translate
555 the image in random directions (i.e. jittering) with a maximum translation length of 0.5° in each
556 direction, thereby, generating images that are predicted to elicit similarly high scores regardless
557 of the translations within the range. The total-variation loss and the translation-invariance pro-
558 cedure reduce the amount of high-frequency noise patterns in the generated images commonly
559 known as adversarial examples (32, 33). In addition, at every iteration during the synthesis pro-
560 cedure, we normalize the computed gradients by its global norm and clip the pixel values at -1
561 and 1.

562 **Contrast Energy** It has been shown that neurons in area V4 respond more strongly to higher
563 contrast stimuli (34). To ask if contrast energy (CE) was the main factor in “stretching” the
564 V4 neural firing rates, we computed the contrast energy within the receptive field of the neural
565 sites for all the synthetic and the classic V4 stimuli. Contrast energy was calculated as the ratio
566 between the maximum and background luminances. For all images, the average luminance
567 was used as the background value. Because the synthetic images consisted of complex visual
568 patterns, we also computed the contrast energy using an alternative method based on spectral
569 energy within the receptive field. We calculated the average power in the cRF in the frequency
570 range of 1-30 cycles/degree. We ensured that for all tested neural sites, CE within the cRF for
571 synthetic *Stretch Controller* images were less than or equal to the classic, complex curvature
572 V4 stimuli (Supp Fig. S4).

573 **cRF-cropped contrast-matched naturalistic stimuli:** For each neural site, we first produced
574 a new naturalistic image-set by cropping the older naturalistic image-set at the estimated cRF
575 of the respective site. We then matched the contrast of these naturalistic images (within the
576 cRF of that neuron) to the average contrast across all five synthesized images (generated for
577 the same neural site). We then computed the predicted neural responses to all these new cRF-

578 masked, contrast matched naturalistic images and evaluated the Stretch control gain achieved
579 with this set over the original naturalistic images. The stretch control gain using these images
580 showed a 14% decrease in the median gain over all target neurons. This meant that the original
581 naturalistic image-set without the cRF masking and contrast-matching contained better drivers
582 of the neural sites measured in our experiments. We noticed that masking the images with
583 the estimated cRF was responsible for most of the drop in the observed stretch control gain
584 (11%; see Fig. S7). We also noted that the contrast energy within the cRF was higher for
585 best naturalistic images compared to synthetic images for most sites (median ratio of synthetics
586 contrast to best naturalistic images was 0.76 over all tested sites).

587 **Monte-Carlo mask optimization:** We estimated the optimal mask parameters formulated
588 as a 2-D Gaussian function (i.e. μ , σ_1 , σ_2 , ρ) for each neural site via Monte-
589 Carlo simulations ($n=500$). We sampled each parameter from the corresponding distribution
590 derived from the measured neural sites in each monkey. For each Monte-Carlo simulation,
591 we sampled the mask parameters from the above-mentioned distributions and constructed a 2-
592 D mask. We then masked the naturalistic images with the sampled mask (cropped at 1-SD)
593 and matched image contrasts to the average contrast of synthetic images produced for each
594 neural site within the mask. For each neural site, we picked the optimal mask parameters that
595 elicited the maximum average firing rate (predicted) across all images in the naturalistic set.
596 The maximum predicted output for each neural site in response to these images was used to
597 evaluate the stretch control gain that showed a non-significant gain over the naturalistic images.

598 **Affine transformations of the naturalistic image-set:** There might be simple image trans-
599 formations that could achieve the same level of control as that obtained by the synthetic images.
600 To test this, we conducted an additional analysis in which we randomly transformed the best
601 naturalistic image for each neural site using various affine transformations (i.e. translation,

602 scale, and rotation; n=100) and calculated the predicted responses to those images. We consid-
603 ered four experiments with the following transformations used in each one 1) random scaling
604 between 0.5 to 2; 2) random translation between -25 to 25 pixels in each direction; 3) random
605 rotation between 0 to 90 degrees; and 4) mixture of all three transformations. For each experi-
606 ment, we evaluated the stretch control gain over the naturalistic image set achieved with these
607 new images that showed significantly lower gains for all of the alternative methods compared
608 to our proposed model-based method (see Fig. S7).

609 **Combining best driver images:** Images that are good drivers of the measured neurons could
610 be combined together to form new mixed images that might drive the neurons even higher. To
611 test this hypothesis, we combined the top naturalistic images for each neuron by taking the
612 average pixel value over all select images and matched the contrast (within cRF of each neural
613 site) of the mixed image to the average contrast across synthetic images generated for each
614 neuron. We tried various number of top images to create the mixed image (i.e. top-2, 3, 4,
615 and 5). We computed the predicted stretch control gain using these mixed images over the
616 naturalistic image set and found that these images were considerably weaker drivers of same
617 neurons (see Fig. S7).

618 **Quantifying the novelty of synthetic images:** We hypothesized that if the synthetic stimuli
619 are indeed novel, they should be less similar (i.e. correlated) to any of the naturalistic images
620 than the naturalistic images are to themselves. We computed the distances between synthetic
621 and naturalistic images in pixel-space as well as in the space of neural responses. To test this, we
622 measured the minimum Euclidean distance (in the space of measured neural responses) between
623 each synthetic image and all naturalistic images and compared them with minimum distances
624 obtained for naturalistic images. Fig. S6 shows the distribution of minimum distances synthetic
625 and naturalistic images to any naturalistic images and illustrates the point that the responses

626 to synthetic images are significantly farther from the distribution of responses to naturalistic
627 images than expected from sampling within the naturalistic space (Fig. S6-A, -C and -E) or
628 by applying simple image transformations on images sampled from that space (Fig. S6-B and
629 -D). Therefore, we can quantifiably call these images out-of-domain (Wilcoxon rank-sum test;
630 $Z(3798) = 30.8$; $p < 0.0001$). We also computed the distances between synthetic and naturalistic
631 images in the pixel space using the correlation distance ($1 - \rho$) that showed a similar distinction
632 between the two (Wilcoxon rank-sum test; $Z(37120) = 29.3$; $p < 0.0001$).

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712 **Acknowledgments** We thank Dr. A. Pasupathy for generously providing the complex cur-
713 vature stimuli, and Kailyn Schmidt and Sachi Sanghavi for technical support. **Funding:** This
714 research was supported by the Intelligence Advanced Research Projects Agency (IARPA), the
715 MIT-IBM Watson AI Lab, US National Eye Institute grants R01-EY014970 (J.J.D.), and the
716 Office of Naval Research MURI-114407 (J.J.D). **Author contributions:** PB, KK and JJD de-
717 signed research. PB implemented the synthesis algorithm. KK and JJD performed animal surg-
718 eries. KK performed neural recordings. PB, and KK analyzed data. PB, KK, and JJD wrote
719 the paper. **Competing interests:** JJD is an Associate Fellow of the Canadian Institute for Ad-
720 vanced Research (CIFAR). JJD has served as a scientific advisor for, and has a financial interest
721 in, Bay Labs, Inc. **Data and materials availability:** The methods are clearly described, and
722 the primary data is available at [https://s3.amazonaws.com/npc-v4-data/npc_](https://s3.amazonaws.com/npc-v4-data/npc_v4_data.h5.zip)
723 [v4_data.h5.zip](https://s3.amazonaws.com/npc-v4-data/npc_v4_data.h5.zip).

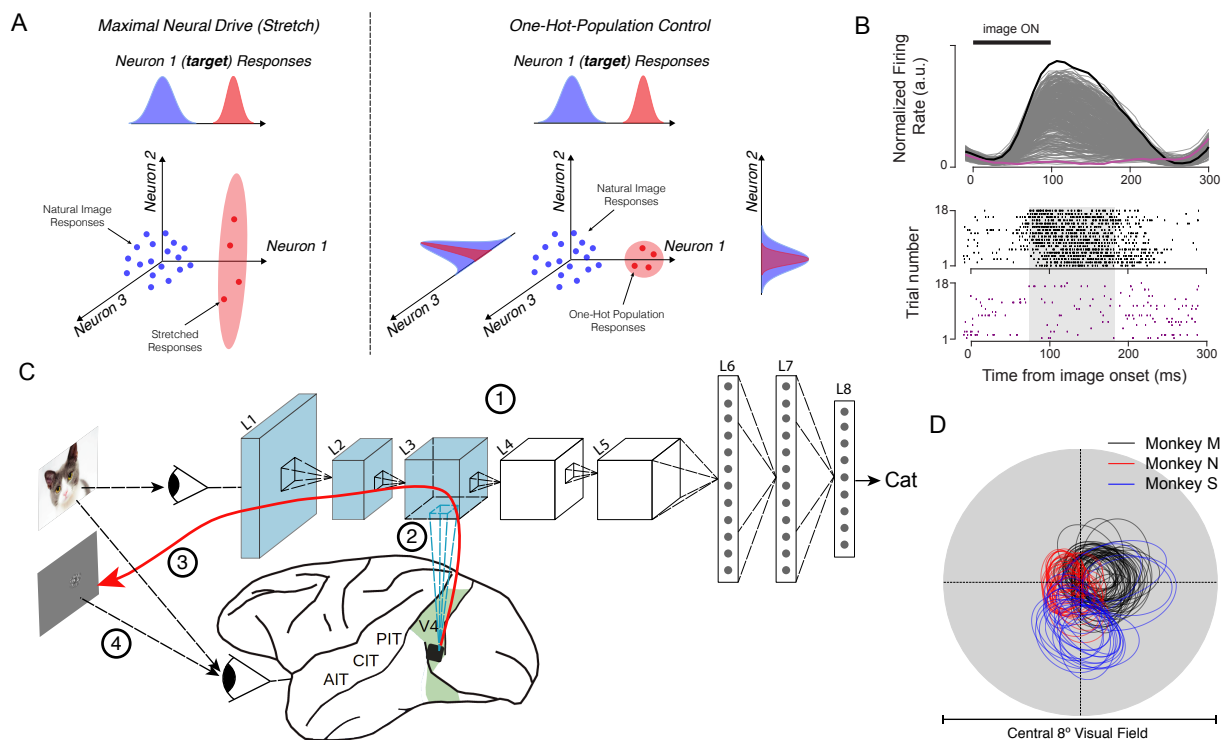


Figure 1: Overview of the synthesis procedure. A) Schematic illustration of the two tested control scenarios. Left - the controller algorithm synthesizes novel images that it believes will maximally drive the firing rate of a target neural site (Stretch). In this case, the controller algorithm does not attempt to regulate the activity of other measured neurons (e.g. they might also increase as shown). Right - the controller algorithm synthesizes images that it believes will maximally drive the firing rate of a target neural site while suppressing the activity of other measured neural sites (one-hot population). B) Top - gray lines (overlapping): responses of a single example V4 neural site to 640 naturalistic images (averaged over ~ 40 repetitions for each image). Vertical wide black line marks the image presentation period. Bottom - raster plots of highest (black) and lowest (purple) neural response to naturalistic images. Shaded area indicates the time window over which the activity level of each V4 neural site is computed (i.e. one value per image for each neural site). C) The neural control experiments are done in four steps. (1) Parameters of the neural network are optimized by training on a large set of labeled natural images (Imagenet (35)) and then held constant thereafter. (2) ANN “neurons” are mapped to each recorded V4 neural site. The mapping function constitutes an image-computable predictive model of the activity of each of those V4 sites. (3) The resulting differentiable model is then used to synthesize “controller” images for either single-site or population control. (4) The luminous power patterns specified by these images are then applied by the experimenter to the subject’s retinae and the degree of control of the neural sites is measured. D) Classical receptive fields of neural sites in monkey M (black), Monkey N (red) and Monkey S (blue; see Methods).

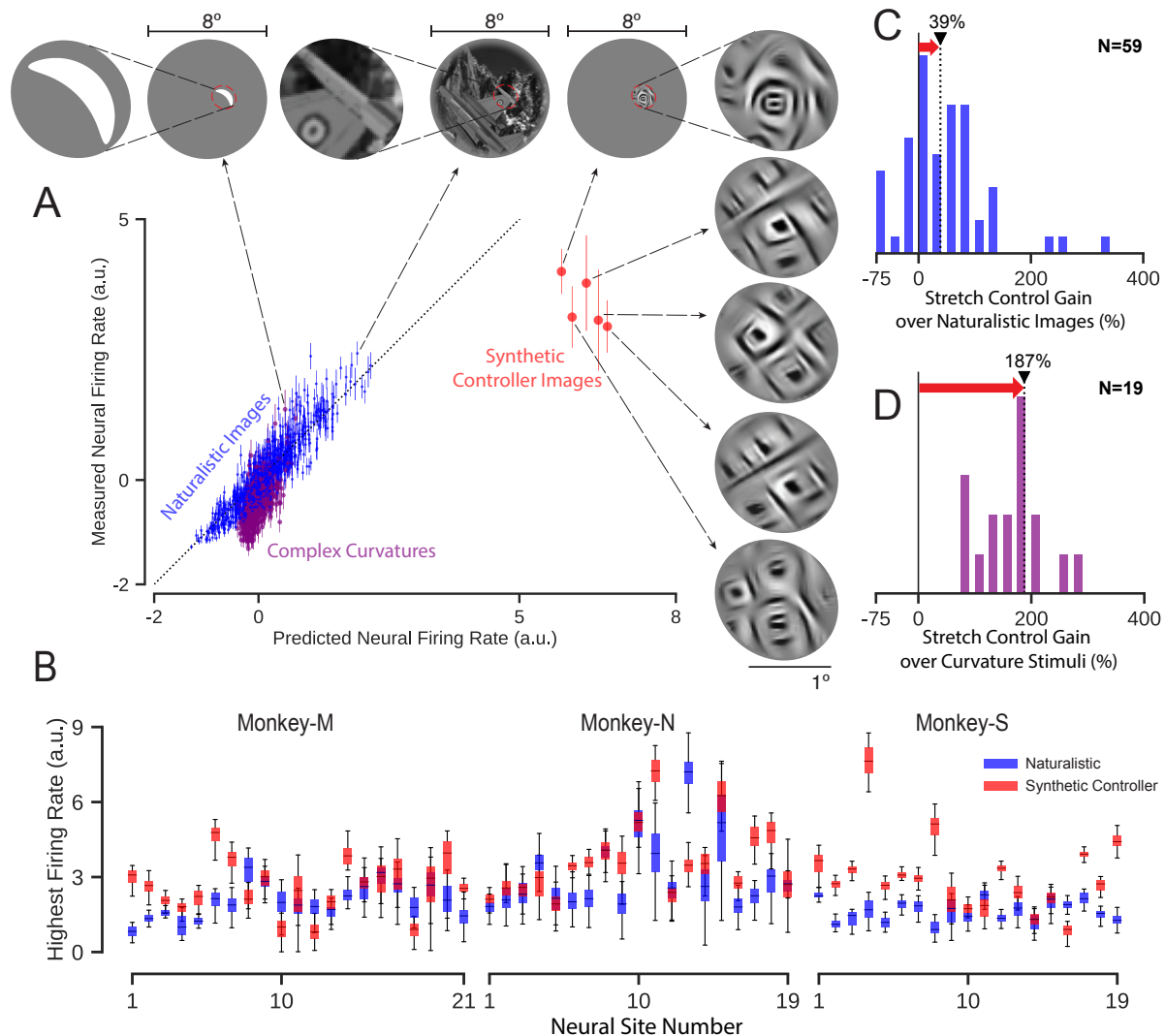


Figure 2: **Maximal drive of individual neural sites (Stretch)**. A) Results for an example successful “stretch” control test. Normalized activity level of the target V4 neural sites is shown for all of the naturalistic images (blue dots), complex curved stimuli (purple dots) and for its five synthetic “stretch” controller images (red dots; see Methods). Best driving images within each category, and the zoomed view of the receptive field are shown on the top. B) Difference in firing rate in response to naturalistic (blue boxes) and synthetic images (red boxes) for each neural site in three monkeys. Controller image synthesis was restricted within the receptive field of the target neural site. C) Histogram of increase in the firing rate over naturalistic images for cRF-restricted synthetic images. D) Histogram of increase in the firing rate over complex curved stimuli. Black triangle with dotted black line marks the median of the scores over all tested neural sites. The red arrow highlights the gain in firing rate in each experiment achieved by the controller images. “N” indicates the number of neural sites included in each experiment.

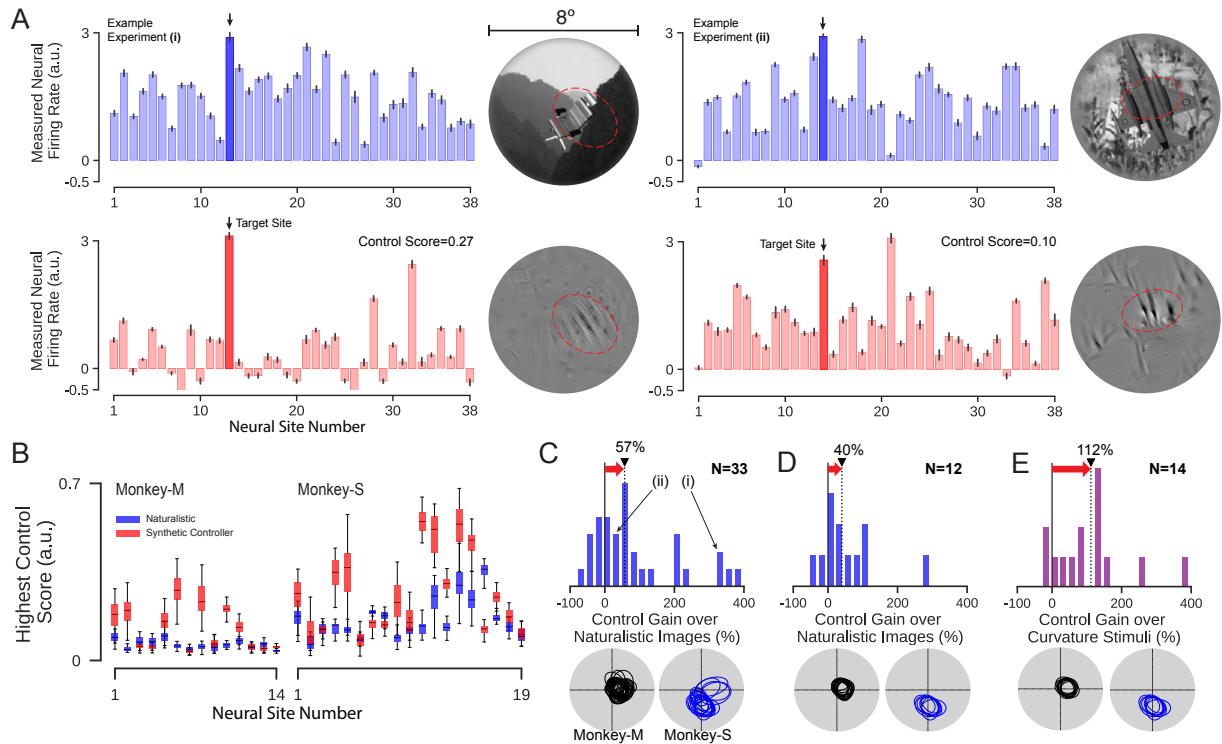


Figure 3: Neural Population Control. We synthesized controller images that aimed to set the neural population in a one-hot state (OHP) in which one target neural site is active and all other recorded neural sites are suppressed. A) Two example OHP experiments (left and right). In each case, the neural activity of each of the validated V4 sites (see Methods) in the recorded population are plotted (most have overlapping cRFs), with the target V4 site indicated in dark blue/red). Note that responses are normalized individually on a normalizer image set to make side-by-side comparison of the responses meaningful (see Methods). Upper panel: activity pattern for the best (“best” in the sense of OHP control, see Methods) naturalistic image (shown on the right). Lower panel: activity pattern produced by retinal application of the ANN-model-synthesized controller image (shown on the right). The red dashed line marks the extended receptive field (2-std) of each site. B) Distribution of control scores for best synthetic and naturalistic images for all 33 OHP full-image controller experiments ($n_M = 14, n_S = 19$). Control Scores are computed using cross-validation (see Methods). C) Histogram of OHP control gain (i.e. improvement over naturalistic images) for results in (B). (i) and (ii) indicate the scores corresponding to example experiments shown in (A). D) Same experimental data as (C) except analyzed for sub-populations selected so that *all* sites have highly overlapping cRFs (see cRFs below). E) OHP control gain where gain is relative to best complex curvature stimulus in the shared cRF (see text) and controller algorithm is also restricted to operate only in that shared cRF ($n=14$ OHP experiments). Receptive fields of neural sites in each setting (C-E) (black: monkey-M; blue: monkey-S). “N” indicates the number of experiments in each setting. Red arrow highlights the median gain in control (black triangle) achieved in each case.

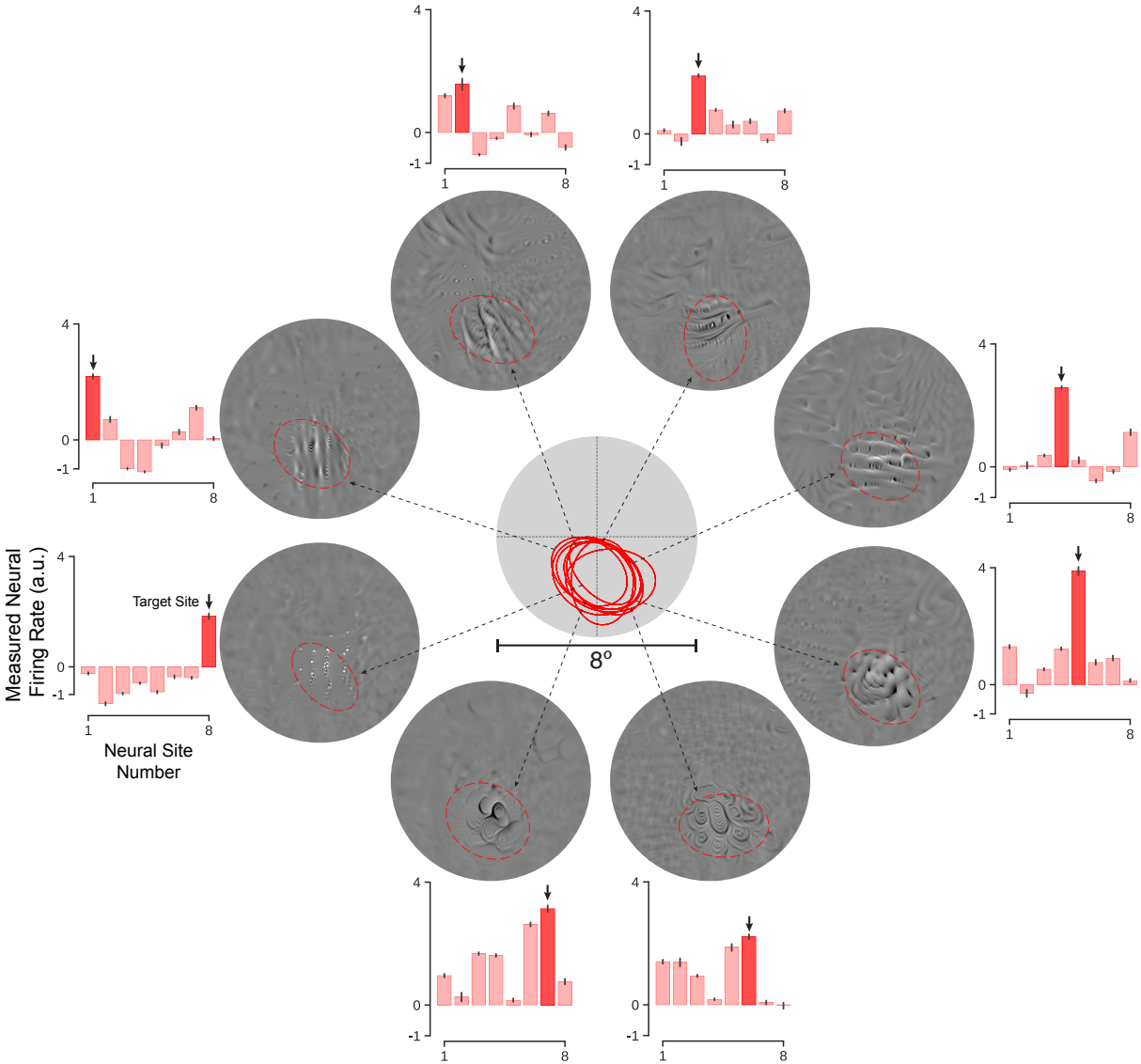


Figure 4: **Example of independent control of each neural site on a subset of V4 neural sites with highly overlapping cRFs.** Controller images were synthesized to try to achieve a one-hot-population over a population of eight neural sites (in each control test, the target neural site is shown as dark red). Despite highly overlapping receptive fields (center), most of the neural sites could be individually controlled to a reasonable degree. Controller images are shown along with the extended cRF (2-std) of each site (red).

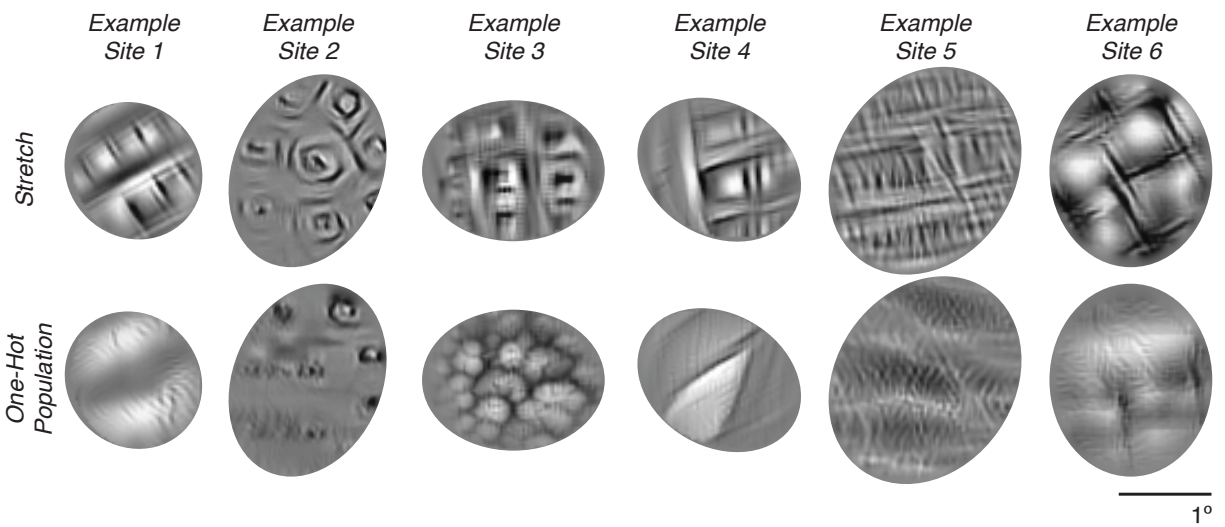


Figure 5: Example controller images synthesized in “Stretch” and “One-hot population” settings for six example target neural sites. Controller images were synthesized from the same initial random image, but optimized for each target neural site and for each control goal (“Stretch” or “One-hot population”, see text). Visual inspection suggests that, for each target site, the One-hot population control images contain only some aspects of the image features in the “Stretch” images.

724 **Supplementary materials**

725 Figures S1 to S8

726 Table S1

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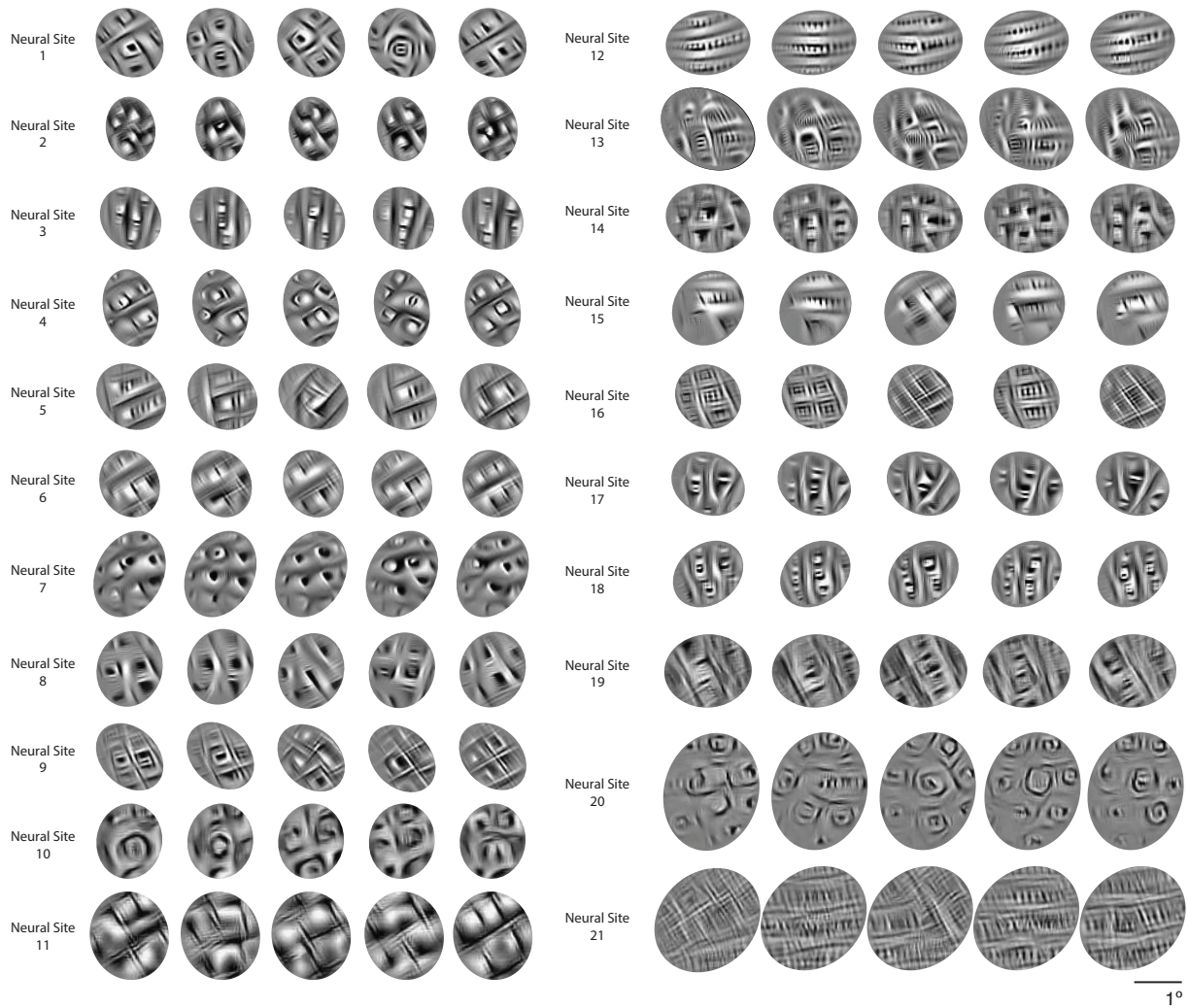


Figure S1: **Stretch synthetic controller images for 21 example V4 neural target sites in Monkey-M.** Each column displays images generated using the same random starting image, but optimized for each target site. Note the perceptual similarity of the controller images synthesized for each site and the dissimilarity between the controller images across sites.

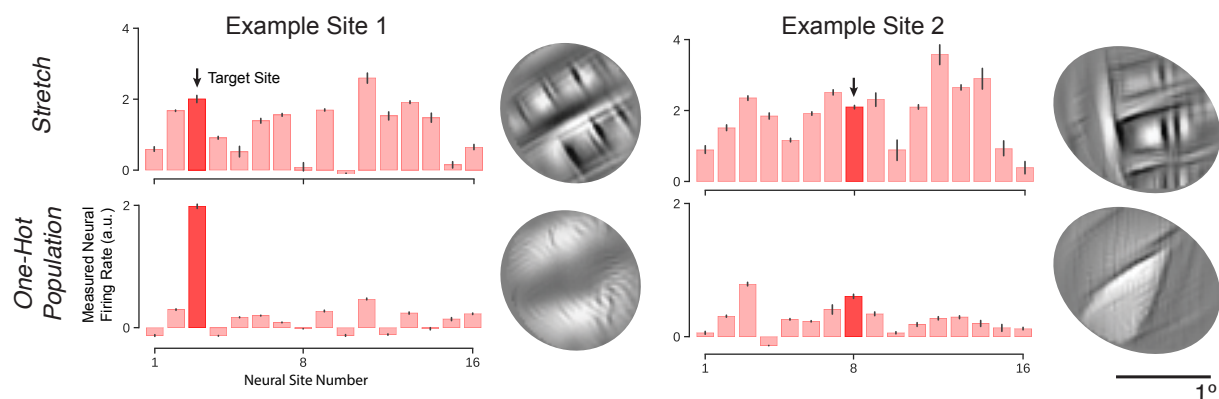


Figure S2: **Comparison of population response in *Stretch* and *One-hot Population* settings.** Population responses in *Stretch* and *One-hot Population* settings are demonstrated for two example neural sites. One-hot population images were generated with an objective function including 16 neural sites with highly overlapping receptive fields. Compared to the *Stretch* controller images, the *one-hot-population* images have fewer identifiable “features”. The displayed images were synthesized using the same initial random image.

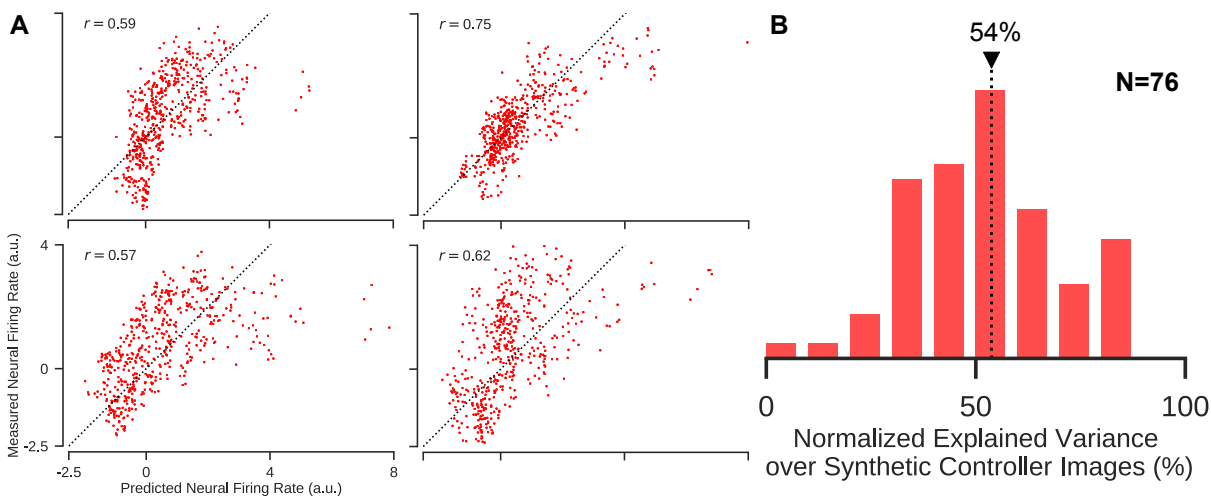


Figure S3: **Predictability of synthetic controller images.** A) Scatter plots of predicted and measured V4 neural responses to synthetic controller images for four example neural sites. For most target neural sites, the predicted and measured neural responses were significantly correlated. Each dot represents the prediction and average measured response to a single image. B) The model accounted for 54% (median across all tested neural sites in three monkeys; $N=76$) of the explainable variance.

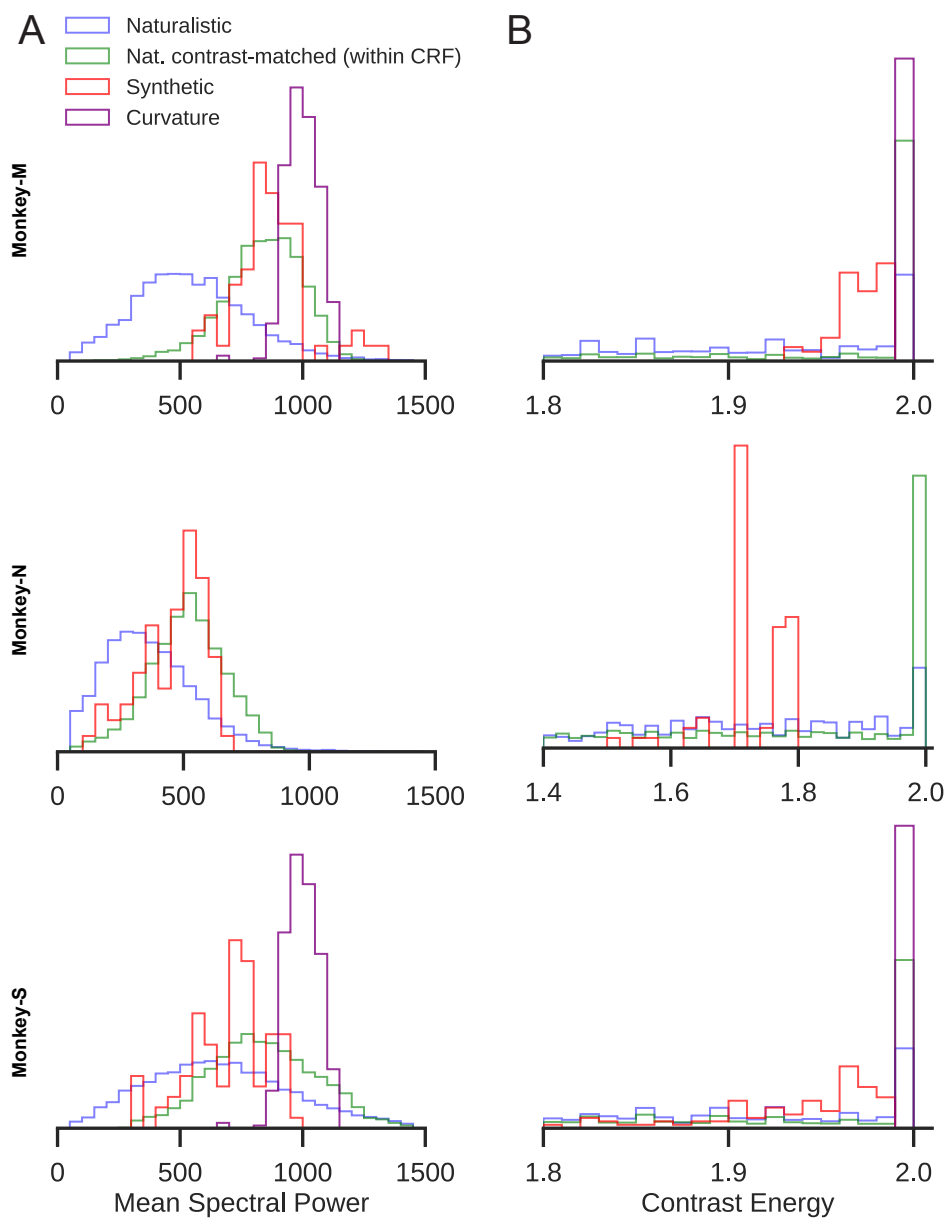


Figure S4: **Comparison of contrast energy between synthetic, naturalistic, and curvature images for each monkey.** A) Distribution of the mean spectral power within target neural sites' classic receptive fields for "Stretch" controller (red), naturalistic (blue), contrast-matched naturalistic (green), and complex curvature (purple) images. Spectral power was computed using 2-D FFT transformation and summed in the frequency range of 1-30 cycles/degree. B) Distribution of contrast energy within target neural sites' classic receptive fields for "Stretch" controller, naturalistic, contrast-matched naturalistic and complex curvature images.

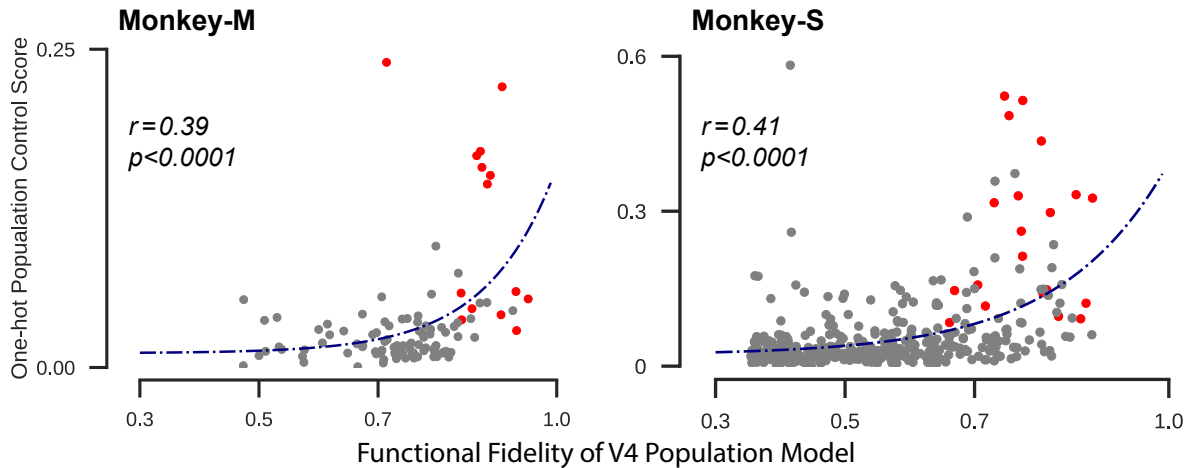


Figure S5: Higher functional fidelity models increase the ability to control neural responses. We evaluated the one-hot population control score for each target neural site in each monkey subject for a range of possible models with different prediction accuracy levels. In each monkey session, the functional fidelity of a V4 population model (measured by the mean of: 1) explained variance of target neural site and 2) the mean of the explained variance for all the off-target sites) was plotted against the one-hot population control score achieved with that population model. We found that these were significantly correlated as assessed by Spearman rank order correlation, shown on each panel. For this analysis, for each target neural site, we included not only the original tests, but also tests in which we swapped the predictive model of the target neural site with the model of randomly-chosen off-target site (we do this "mismatch" test because it is an example of what would have happened in the experiment if the synthesis algorithm had been given the wrong models – it would have produced OHP control stimuli that we already tested – so we can compute the resulting control score without doing new recording experiments). We simply assessed the functional fidelity of V4 population model using the mismatched models and the population control score achieved using the new population model's synthetic control images (again, from population responses to images that we had already tested). Red dots correspond to cases where the target neural site's model and responses were matched (i.e. results of the original OHP tests, see text), and gray dots correspond to the cases where they were mismatched. Dark blue line shows an exponential function fitted to the data points, highlighting the tendency for higher model fidelity to support better control.

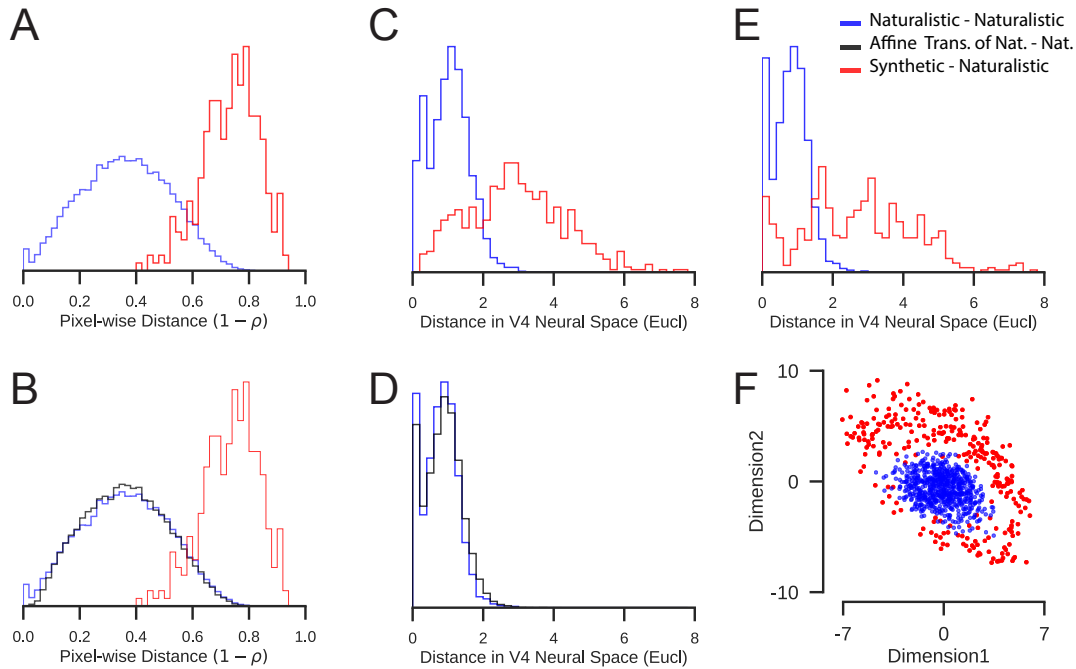


Figure S6: **How "novel" and "out-of-domain" are the synthetic images?** A-E) Each distribution plots the minimum distance of each of the images in the test set to the full set of 640 naturalistic images (i.e. minimum over 640). In each case, the reference is the minimum distance of any given naturalistic image to the other naturalistic images (blue distribution in each plot). Note that, in all cases, the synthetic images (red) are farther from the naturalistic images. A) Pixel-space distances (within the receptive field of each neural site). B) Same as panel-A but also showing a new test set: random affine transformations of naturalistic images (black). The random affine transformations naturalistic image set was generated by randomly performing combination of scaling, translation and rotation transformations on random naturalistic images for $n=6400$. C) Euclidean distances in the predicted V4 population response space ($n_M=21$, $n_N=19$, and $n_S=19$ simulated V4 neural sites). D) Same as Panel-C but also showing a new test set: random affine transformations of naturalistic images (black). E) Euclidean distances in measured neural population responses ($n_M=21$, $n_N=19$, and $n_S=19$ actual V4 neural sites). F) Scatter plot of 640 naturalistic images (blue) and 285 synthetic images (red) where the axes are the first two principle components of the measured V4 population response space (using Multi-Dimensional Scaling; data from Monkey-S).

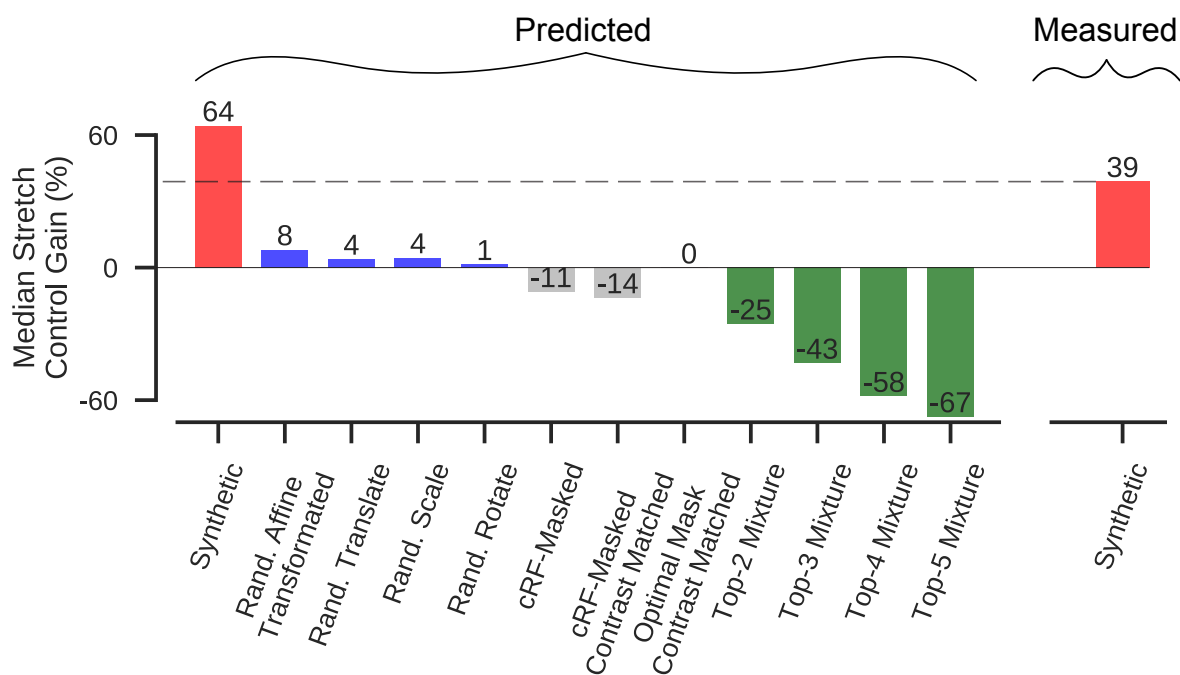


Figure S7: **Comparison of stretch control gain using alternative control methods.** Results on the left panel are computed using the model predictions for each case. Red bar plot on the right indicates the achieved stretch control gain using the synthesis procedure (reported in the main text, see Fig. 2). Control methods are color coded into four categories: ANN-synthesis (red), affine transformations (blue), mask optimization (gray), and image mixing (green). Each bar indicates the median stretch control gain over the naturalistic image set.

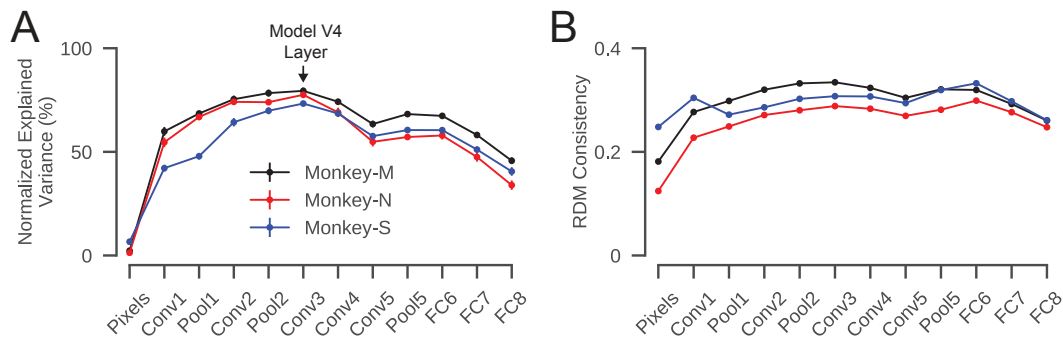


Figure S8: Similarity of representations to measured neural activities at different stages of processing in the artificial neural network. A) ANN features at the output of each layer are used to predict the measurements from V4 sites. Amount of explained variance by these features are normalized by the internal consistency of neurons across stimulus presentations. B) Consistency between V4 representation (spanned by the measured neural responses) and representations at each layer of the ANN model is quantified by constructing the image-level representational dissimilarity matrix (RDM) for each one and computing the Pearson correlation between the elements in the upper-triangle of the two matrices.

	Mapping Type	Model Type	Median Normalized EV (%)
Monkey-M	PCR	Conv3	80
	Klindt et al.	Conv3	88
	Klindt et al.	Retinae-Conv3	92
Monkey-N	PCR	Conv3	75
	Klindt et al.	Conv3	84
	Klindt et al.	Retinae-Conv3	92
Monkey-S	PCR	Conv3	72
	Klindt et al.	Conv3	77
	Klindt et al.	Retinae-Conv3	80

Table S1: Median prediction accuracy over all measured neural sites in three monkeys using different mapping methods and model features. Addition of the Retinae transformation and convolutional mapping in Klindt et al. (30) account for 3-8% and 5-9% of the improvement in prediction accuracy compared to the principle component regression method respectively.